

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

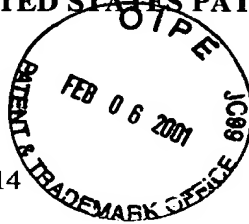
In re Patent Application of

J. Thomas NGO et al

Application No.: 09/414,514

Filed: October 8, 1999

For: SYSTEM AND METHOD FOR THE
BROADCAST DISSEMINATION OF
TIME-ORDERED DATA



Group Art Unit: 2711

Examiner: Unassigned

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FEB 07 2001
Group 2600

**INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL LETTER**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ [X] No additional fee for submission of an IDS is required.
- ☐ [] The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ [] A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ [] A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ [] Chargé \$_____ to Deposit Account No. 02-4800 for the fee due.
- ☐ [] A check in the amount of \$_____ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

James A. LaBarre
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Date: February 6, 2001

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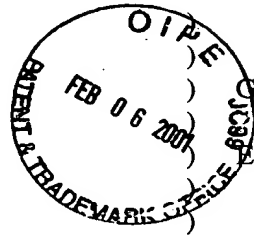
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. A copy of each of the documents cited below is enclosed.

U.S. Patent Applications

Patent No.	Applicant	Issue Date
5,606,359	Youden et al	02/25/97
5,751,336	Aggarwal et al	05/12/98


These references were cited in an International Search Report for a PCT application which is related to the subject application. For the Examiner's reference, a copy of the Search Report is also being submitted herewith.

To assist the Examiner, the references are listed on the attached form PTO-1449. It is respectfully requested that an initialled copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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SHEET 1 OF 1

INFORMATION DISCLOSURE CITATION

PTO-1449

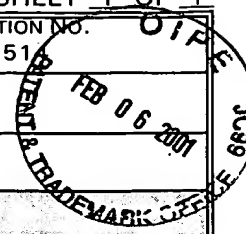
ATTORNEY'S DKT NO.
013155-065

APPLICATION NO.
09/414,516

APPLICANT
J. Thomas NGO et al

FILING DATE
October 8, 1999

GROUP
2711



U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,606,359	02/97	Youden et al			
	5,751,336	05/98	Aggarwal et al			

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FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.